

Form CRS - Customer Relationship Summary Austin Wealth Management, LLC

Austin Wealth Management, LLC is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at www.Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

We provide Financial Planning as a project-based service. Education and strategies are delivered in the areas of budgeting, cash management, risk management, education funding, retirement funding, tax efficiency, investment strategy and estate planning. Clients may receive a custom written financial plan and decide whether or not to implement any financial planning recommendations.

We provide Investment Advisory services for client accounts. We design and implement discretionary investment portfolios, and provide ongoing monitoring, reporting, and transaction services. We can provide non-discretionary portfolio management for clients who prefer to approve all decisions. We do not sell proprietary investment products or limit clients to certain types of investments. We do not require a minimum account size, but reserve the right to accept or decline any engagement.

We provide Wealth Management as a service, which is a combination of the services described above.

For additional information about services we provide, see Item 4 of our Form ADV Part 2A Brochure. **For information about separate brokerage services available**, see Form CRS for Purshe Kaplan Sterling Investments, a broker-dealer with which some of our advisors are affiliated. Both documents are available at www.austinwealthmgmt.com.

Key questions to ask your financial professional:

Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

Financial Planning project-based services are performed for a fixed fee, quoted in advance, based on the complexity of the project. The fee is due up front and the service is completed upon delivery of the plan. The Financial Planning fee is separate from any fees or expenses assessed by our firm or outside parties.

Investment Advisory services are performed for an ongoing asset-based fee. The fee is deducted from the client account quarterly in arrears. The fee is separate from Financial Planning fees, custodial transaction fees and other expenses, mutual fund or ETF expenses, or other fees charged by outside parties.

Wealth Management services are performed for a combination of a fixed Financial Planning fee and an asset-based Investment Advisory fee. The Financial Planning fee can be billed monthly or quarterly, and paid directly or deducted from an investment account. The Investment Advisory fee is calculated and deducted directly from a client account quarterly, in arrears. The Investment Advisory fee is separate from custodial transaction fees and other expenses, mutual fund or ETF expenses, or other fees charged by outside parties.

Asset based fees give an advisor an incentive to increase the assets in a client account since the firm receives fees based on the size of an account. Clients pay fees and costs whether account values increase or decrease

08/17/2023

over a billing period. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information about the fees we charge, please see Item 5 of our Form ADV Part 2A Brochure and at www.austinwealthmgmt.com.

Key questions to ask your financial professional:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they affect the investment advice we provide you. Here are some examples to help you understand what this means.

Some firms earn incentives by using proprietary products in client accounts, some firms share revenues with outside managers when directing client business, and some firms trade investments from their own inventory with their clients. We do not engage in these activities. We do however receive some non-monetary benefits from our custodian to whom we direct business and the receipt of which is considered a conflict of interest.

For additional information about our custodial arrangements, please see Item 12 of our Form ADV Part 2A Brochure at www.austinwealthmgmt.com.

Key questions to ask your financial professional:

How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our financial professionals earn salary plus revenue sharing for servicing accounts and generating new clients. Our financial professionals do not receive economic benefits or other compensation from outside parties related to advisory services but receive compensation related to prior sales of investment products while serving as a broker and may share in referral fees from non-investment outside professionals.

Do you or your financial professionals have legal or disciplinary history?

No. Visit Investor.gov/CRS for a free and simple search tool to research us and our professionals.

Key questions to ask your financial professional:

As a financial professional, do you have any disciplinary history? For what type of conduct?

Where to go for additional information

Please visit our website at www.austinwealthmgmt.com or contact us at 512-467-2000 for a current copy of this summary, for our Form ADV Part 2 Brochure, or for additional information about our firm.

Key questions to ask your financial professional:

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?